

# EXHIBIT A

## SECURITIES INVESTOR PROTECTION CORPORATION

Irving H. Picard, Trustee for the Liquidation of Bernard L. Madoff Investment Securities, LLC

Period Ended October 31, 2019  
CASH RECEIPTS:

	General Cash Receipts	Net Change for Period	Prior Period Cumulative	Total Received	Cumulative Detail
Beginning Cash Balance	\$14,862,748.87		289,841,911.70	289,841,911.70	Customer Fund
Transfer from Debtor's Estate - Securities	0.00		336,660,934.06	336,660,934.06	General Estate
Transfers from Debtor's Estate - BNY Account	0.00		235,156,309.36	235,156,309.36	SPFC
Transfers from Debtor's Estate - Chase Account	0.00		4,036,145.08	4,036,145.08	
Transfers from Debtor's Estate - Other	0.00		1,843,180.59	1,843,180.59	
Interest and Dividends	0.00		37,316,297.78	37,316,297.78	
Closeout Proceeds - Broker Dealers	0.00		21,783,082.40	21,783,082.40	
Closeout Proceeds - NSCC	0.00		18,001,787.96	18,001,787.96	
Closeout Proceeds - DTCC	0.00		47.26	47.26	
Sale of Debtor's Assets	0.00		91,037.20	91,037.20	
- Sports Tickets	0.00		7,959,450.94	7,959,450.94	
- Bank Debt Participations	0.00		204,170.51	204,170.51	
- DTCC Shares	0.00		1,419,801.63	1,419,801.63	
- Market Making Business	0.00		795,000.00	795,000.00	
- Altrinch	0.00		100,734.60	100,734.60	
- NYSE Shares	0.00		6,494,631.95	6,494,631.95	
- BLM Air Charter	0.00		644,579.15	644,579.15	
- Auction Sales	0.00		11,428.57	11,428.57	
- Other	0.00		531,078.49	531,078.49	
Administrative Subtenant Rent Revenue	0.00		(531,078.49)	(531,078.49)	
Adjusting Administrative Subtenant Rent Revenue	0.00		9,841.45	9,841.45	
Refunds - Deposits	0.00		177,247.15	177,247.15	
- Due/Subscriptions	0.00		157.00	157.00	
- Car Registrations	0.00		62,451.27	62,451.27	
- Vendors	0.00		833.61	833.61	
- Transit Cards	0.00		442,311.56	442,311.56	
- Insurance/Workers Comp	0.00		144,500.00	144,500.00	
- Ref. - Political Contributions	0.00		50.84	50.84	
- Refunds Other	0.00		112,392,379.79	112,392,379.79	
Recoveries - Customer Avoidances	0.00		1,903,783,597.98	1,903,783,597.98	
- Pre-Litigation Settlements	0.00		10,674.74	10,674.74	
- Litigation Settlements	0.00		10,331,724,136.04	11,198,980,702.54	
- Donation Settlements	0.00		875,000.00	875,000.00	
- Vendor Preferences	0.00		809,850.39	809,850.39	
- MSIL Liquidation	0.00		1,034,311.82	1,034,311.82	
- Employees	0.00		96,816.23	96,816.23	
- Training Authorities	0.00		12,777.56	12,777.56	
- Other	0.00		2,648,501.82	2,648,501.82	
Miscellaneous	0.00		308,948.49	308,948.49	
Earnings on Trustee's Investments	803,906.04		101,341,818.06	101,341,818.06	
Interest on Trustee's Savings Accounts	226,818.67		7,403,255.32	7,403,255.32	
Sub-total SPFC Advances	\$33,397,803.41		\$2,685,834,190.18	\$2,719,221,993.59	
Administration - Advances	32,397,803.41		1,840,029,855.21	1,872,427,655.62	
Securities - Paid Bank Loans	0.00		0.00	0.00	
- Cash in Lieu	1,000,000.00		846,804,334.97	846,804,334.97	
Funds Transferred from Investment Accounts *See Note (2) on Page 3	247,055,882		11,206,328,305.41	11,542,381,360.23	
Total Cash Receipts	\$1,148,740,156.44		\$29,407,751,740.62	\$28,556,401,897.06	\$14,203,876,512.24
					\$0.00
					\$2,719,221,993.59
					\$1,872,427,655.62
					2901
					0.00
					2921
					846,804,334.97
					2922
					1901

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	General Cash Receipts	Net Change for Period	Prior Period Cumulative	Total Received	Cumulative Detail
Beginning Cash Balance	\$14,862,748.87		289,841,911.70	289,841,911.70	Customer Fund
Transfer from Debtor's Estate - Securities	0.00		336,660,934.06	336,660,934.06	General Estate
Transfers from Debtor's Estate - BNY Account	0.00		235,156,309.36	235,156,309.36	SPFC
Transfers from Debtor's Estate - Chase Account	0.00		4,036,145.08	4,036,145.08	
Transfers from Debtor's Estate - Other	0.00		1,843,180.59	1,843,180.59	
Interest and Dividends	0.00		37,316,297.78	37,316,297.78	
Closeout Proceeds - Broker Dealers	0.00		21,783,082.40	21,783,082.40	
Closeout Proceeds - NSCC	0.00		18,001,787.96	18,001,787.96	
Closeout Proceeds - DTCC	0.00		47.26	47.26	
Sale of Debtor's Assets	0.00		91,037.20	91,037.20	
- Sports Tickets	0.00		7,959,450.94	7,959,450.94	
- Bank Debt Participations	0.00		204,170.51	204,170.51	
- DTCC Shares	0.00		1,419,801.63	1,419,801.63	
- Market Making Business	0.00		795,000.00	795,000.00	
- Altrinch	0.00		100,734.60	100,734.60	
- NYSE Shares	0.00		6,494,631.95	6,494,631.95	
- BLM Air Charter	0.00		644,579.15	644,579.15	
- Auction Sales	0.00		11,428.57	11,428.57	
- Other	0.00		531,078.49	531,078.49	
Administrative Subtenant Rent Revenue	0.00		(531,078.49)	(531,078.49)	
Adjusting Administrative Subtenant Rent Revenue	0.00		9,841.45	9,841.45	
Refunds - Deposits	0.00		177,247.15	177,247.15	
- Due/Subscriptions	0.00		157.00	157.00	
- Car Registrations	0.00		62,451.27	62,451.27	
- Vendors	0.00		833.61	833.61	
- Transit Cards	0.00		442,311.56	442,311.56	
- Insurance/Workers Comp	0.00		144,500.00	144,500.00	
- Ref. - Political Contributions	0.00		50.84	50.84	
- Refunds Other	0.00		112,392,379.79	112,392,379.79	
Recoveries - Customer Avoidances	0.00		1,903,783,597.98	1,903,783,597.98	
- Pre-Litigation Settlements	0.00		10,674.74	10,674.74	
- Litigation Settlements	0.00		10,331,724,136.04	11,198,980,702.54	
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- Vendor Preferences	0.00		809,850.39	809,850.39	
- MSIL Liquidation	0.00		1,034,311.82	1,034,311.82	
- Employees	0.00		96,816.23	96,816.23	
- Training Authorities	0.00		12,777.56	12,777.56	
- Other	0.00		2,648,501.82	2,648,501.82	
Miscellaneous	0.00		308,948.49	308,948.49	
Earnings on Trustee's Investments	803,906.04		101,341,818.06	101,341,818.06	
Interest on Trustee's Savings Accounts	226,818.67		7,403,255.32	7,403,255.32	
Sub-total SPFC Advances	\$33,397,803.41		\$2,685,834,190.18	\$2,719,221,993.59	
Administration - Advances	32,397,803.41		1,840,029,855.21	1,872,427,655.62	
Securities - Paid Bank Loans	0.00		0.00	0.00	
- Cash in Lieu	1,000,000.00		846,804,334.97	846,804,334.97	
Funds Transferred from Investment Accounts *See Note (2) on Page 3	247,055,882		11,206,328,305.41	11,542,381,360.23	
Total Cash Receipts	\$1,148,740,156.44		\$29,407,751,740.62	\$28,556,401,897.06	\$14,203,876,512.24
					\$0.00
					\$2,719,221,993.59
					1,872,427,655.62
					2901
					0.00
					2921
					846,804,334.97
					2922
					1901

Period Ended October 31, 2019

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CASH DISBURSEMENTS:

<u>Administrative Disbursements</u>	<u>Net Change for Period</u>	<u>Prior Period Cumulative</u>	<u>Cumulative Total Paid</u>	<u>Code</u>
<u>General Administrative Disbursements</u>				
Computer - Rental	0.00	11,121.59	11,121.59	5011
- Software Support	0.00	55,159.20	55,159.20	5012
- Equipment Leases	0.00	204,159.01	204,159.01	5013
Employee Related - Salaries-Net	0.00	4,361,844.80	4,361,844.80	5020
- FICA-Employer	0.00	318,550.60	318,550.60	5021
- Fed. & St. Unemploy.	0.00	4,296.08	4,296.08	5023
- Temporary Help	0.00	29,612.50	29,612.50	5024
- Employee Medical Plan	0.00	830,103.99	830,103.99	5025
- Employee LTD	0.00	6,887.03	6,887.03	5026
- Employee Expense Reimbursement	0.00	1,125.87	1,125.87	5027
- Employee Life/AD&D	0.00	9,006.83	9,006.83	5028
- Other	0.00	1,622.90	1,622.90	5029
Insurance - Trustee Bond	0.00	6,000.00	6,000.00	5030
Insurance - Surety & Fidelity Bonds	0.00	37,400.00	37,400.00	5031
Insurance Workers Comp	0.00	12,578.00	12,578.00	5032
- Other	0.00	49,450.20	49,450.20	5039
Fees - Payroll Processing	0.00	8,195.96	8,195.96	5045
Fees - Escrow	0.00	1,221,698.85	1,221,698.85	5046
- Other	102.00	24,168.64	24,270.64	5047
Expenses for Asset Sales	0.00	48,429.09	48,429.09	5048
Rent - Office	0.00	3,987,347.17	3,987,347.17	5050
- Adjustment for Administrative Subtenant Rent Revenue	0.00	(531,078.49)	(531,078.49)	5050a
- Equipment	0.00	1,695.89	1,695.89	5051
- Warehouse	18,874.81	1,935,881.75	1,954,756.56	5052
- Bulova	0.00	310,130.75	310,130.75	5053
- Other	0.00	63,185.27	63,185.27	5059
Costs - Vacating 885 Third Avenue	0.00	20,179.46	20,179.46	5111
Telephone and Telegraph	0.00	360,456.68	360,456.68	5060
Communication Fees	0.00	670,057.02	670,057.02	5061
Utilities - Electricity	0.00	49,292.11	49,292.11	5070
Office Supplies & Expense - Maint. & Repairs	0.00	79,338.86	79,338.86	5080
- Moving & Storage	3,908.10	466,162.56	470,070.66	5081
- Postage/Handling/Preparation	0.00	40,961.12	40,961.12	5082
- Reproduction	0.00	183,889.65	183,889.65	5083
- Locksmith	0.00	5,811.39	5,811.39	5084
- Security	0.00	249,897.70	249,897.70	5085
- Supplies	0.00	3,865.31	3,865.31	5086
- Temporary Help	0.00	4,588,642.69	4,588,642.69	5087
- Process Server - Complaint	0.00	244,026.52	244,026.52	5088
- Other	0.00	36,250.63	36,250.63	5089
Taxes	0.00	555.51	555.51	5090
NYC Commercial Rent Tax	0.00	154,269.47	154,269.47	5091
Claims Related Costs - Mailing Costs	0.00	23,053.28	23,053.28	5101
- Publication	0.00	163,961.13	163,961.13	5102
- Supplies	0.00	16,244.58	16,244.58	5103
- Printing	0.00	2,207.42	2,207.42	5104
Court Related Noticing - Postage/Handling/Preparation *See Note (1) Below	0.00	0.00	0.00	5106
- Reproduction	0.00	0.00	0.00	5107
- Supplies	0.00	0.00	0.00	5108
Scanning - Investigation	0.00	5,189,846.75	5,189,846.75	5110
Foreign Research	0.00	38,975.00	38,975.00	5112
Miscellaneous	0.00	666.91	666.91	5115
Hosting Expense	456,834.96	49,754,043.12	50,210,878.08	5244
<b>Sub-total General Admin. Disbursements</b>	<b>\$479,719.87</b>	<b>\$75,351,228.35</b>	<b>\$75,830,948.22</b>	
<u>Professional Fees and Expenses</u>				
Trustee Fees	0.00	4,377,662.10	4,377,662.10	5200
Trustee Expenses	0.00	2,549.25	2,549.25	5201
Trustee Counsel Fees (Baker)	10,803,412.75	1,132,174,681.12	1,142,978,093.87	5210
Trustee Counsel Expenses (Baker)	59,606.42	18,218,402.26	18,278,008.68	5211
Trustee Counsel Fees (Windels)	0.00	65,155,986.24	65,155,986.24	5212
Trustee Counsel Expenses (Windels)	0.00	699,349.16	699,349.16	5213
Special Counsel Fees	(146,706.88)	90,498,798.63	90,352,091.75	5220
Special Counsel Expenses	(2,295.78)	14,746,769.06	14,744,473.28	5221
Accountant Fees	0.00	0.00	0.00	5230
Accountant Expenses	0.00	0.00	0.00	5231
Consultant Fees	1,082,723.87	437,212,968.52	438,295,692.39	5240
Consultant Expenses *See Note (1) Below	41,992.73	19,541,371.43	19,583,364.16	5241
Investment Banker Fees	0.00	1,050,000.00	1,050,000.00	5242
Sales Tax	0.00	1,666,952.45	1,666,952.45	5243
Mediator Fees	0.00	4,172,785.86	4,172,785.86	5245
Mediator Expenses	0.00	14,033.44	14,033.44	5246
Receiver Counsel Fees	0.00	300,000.00	300,000.00	5260
Receiver Counsel Expenses	0.00	6,449.08	6,449.08	5261
Receiver's Consultants Fees	0.00	316,000.00	316,000.00	5262
Receiver's Consultants Expenses	0.00	15,000.00	15,000.00	5263
<b>Sub-total Professional Fees and Expenses</b>	<b>\$11,838,733.11</b>	<b>\$1,790,169,758.60</b>	<b>\$1,802,008,491.71</b>	
<b>Total Administrative Disbursements</b>	<b>\$12,318,452.98</b>	<b>\$1,865,520,986.95</b>	<b>\$1,877,839,439.93</b>	

Period Ended October 31, 2019

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CASH DISBURSEMENTS:		Net Change for Period	Prior Period Cumulative	Total Paid	Cumulative Total	SIPC	Code
Claim, Refund & Disbursements							
Customer - Paid Bank Loan		\$ 1,106,939,947.82	\$ 12,379,289,949.25	\$ 13,486,229,887.07	\$ 12,639,425,562.10	\$ 5	6021
- Securities - Cash in Lieu							6022
- Securities - Purchases							6023
- Indemnification							6031
- Cash Balance							6041
Customer -							6050
Customer - Trustee Journal Entry							6060
per Allocation							6066
Other - Contractual Commitments							6111
- Paid Bank Loan							6121
- Indemnification							6131
Other -							6140
Other -							6150
Other - Trustee Journal Entry							6160
per Allocation							6200
General Creditor							
Sub-total Claim Disbursements		\$1,106,939,947.82	\$12,379,289,949.25	\$13,486,229,887.07	\$12,639,425,562.10	\$0.00	\$846,804,334.97
Other Disbursements (except investments)							
SIPC - Refunds - Recoupment							
- Indemnification							
- Contr. Commitments							6301
- Paid Bank Loan							6311
- Subrogation							6321
Other -							6400
Other -							6401
Other -							6402
Other -							6403
Other -							6404
Sub-total Other Disbursements		\$0.00	\$222,868,311.91	\$222,868,311.91	\$222,868,311.91	\$0.00	\$0.00
Investments by Trustee - Purchases *See Note (2) Below							
Sub-total Administrative Disb. - page 2							
Total Disbursements							
Total Receipts less Disbursements							
Ending Cash Balance *See Note (3) Below							

\* Note (2) Two preferred custody accounts and an insured money market account have been established at Citibank for investment purposes and additional investment accounts are maintained at JP Morgan Chase and Goldman Sachs. The Goldman Sachs Account was established in December 2016 in connection with the Chais Settlement. A Broker's account, which was previously established at Morgan Joseph, was closed in January 2012. Since January 20, 2009, \$12,926,245,490.96 of recovered funds have been transferred into these investment accounts and \$11,543,383,362.23 of these funds have subsequently been used for interim distributions to customers with allowed claims and for operations. (See Page 5 for more details).

\* Note (3) The ending cash balance includes a \$ 42,597,066.72 balance in the Citibank Business Checking Account and \$ 716,690.47 in the Citibank Distribution Account.

Period Ended October 31, 2019

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**SUMMARY INFORMATION ON STATUS OF LIQUIDATION**

	<b>Customer Claimants</b>	<b>Broker/Dealer Claimants</b>	<b>General Estate Claimants</b>
<b>Claims received</b>	<b><u>16,521</u></b>	<b><u>49</u></b>	<b><u>95</u></b>
<b>Claims satisfied by distribution of cash and/or securities:</b>			
a. As part of the transfer in bulk			
b. On an account by account basis-Fully Satisfied	1,649		
c. On an account by account basis-Partially Satisfied	992		
	<b><u>2,641</u></b>	<b><u>-</u></b>	<b><u>-</u></b>
<b>Claims Determined - no claims</b>	<b>12</b>		
<b>Claims Deemed Determined - pending litigation</b>	<b>21</b>		
<b>Claims Determined - withdrawn</b>	<b>417</b>		
<b>Claims Determined but not yet satisfied</b>	<b>2</b>		
<b>Claims under review</b>		<b>49</b>	<b>95</b>
<b>Claims Denied:</b>			
a. Other Denials for which no objections were filed	10,213		
b. Denials for which objections were filed:			
- Trustee's Determinations Affirmed	2,905		
- Hearing not yet set	310		
- Set for Hearing	-		
	<b><u>13,880</u></b>	<b><u>49</u></b>	<b><u>95</u></b>

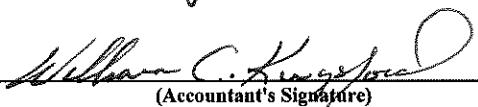
**Filing Date Value**

<b>Customer name securities distributed</b>	
<b>Customer fund securities distributed</b>	<b><u>\$</u></b>

  
\_\_\_\_\_  
(Irving H. Ricard)

11/19/2019

(Date)

  
\_\_\_\_\_  
(William C. Kengor, CPA)

11/18/2019

(Date)

Period Ended October 31, 2019

Report No. 131

**IRVING H. PICARD, TRUSTEE FOR THE LIQUIDATION OF BLMIS, LLC**  
Investment Accounts

Investment Accounts

• Note (1) The conversion of \$ Treasury Bills and Notes is \$1.128 [621.834.

Unrealized Gain or (Loss)		Realized Gain on the Sale of Securities		Interest and Dividends Earned		Transfer of Securities from the Citibank Operating Account	
1,119,060		1,119,060					
Realized Gain on the Sale of Securities		172,324		172,324			
Interest and Dividends Earned							
Transfer of Securities from the Citibank Operating Account							
		</					

Period Ended October 31, 2019

Report No. 131

**IRVING H. PICARD, TRUSTEE FOR THE LIQUIDATION OF BLMIS, LLC**  
**Consultant Expenses for Court Related Noticing and Interim Distributions**

	<b>Net Change for Period</b>	<b>Prior Period Cumulative</b>	<b>Cumulative Total Paid</b>
Postage / Handling / Preparation	0.00	539,518.90	539,518.90
Printing	0.00	44,945.40	44,945.40
Reproduction Costs	0.00	762,418.30	762,418.30
Supplies	0.00	98,493.66	98,493.66
<b>Total *See Note Below</b>	<b><u>\$0.00</u></b>	<b><u>\$1,445,376.26</u></b>	<b><u>\$1,445,376.26</u></b>

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\*Note: All of the expenses above were incurred by consultants in connection with court related noticing procedures and Interim Distributions, which are included in the Consultant Expenses line (Account #5241) on Page 2 of the SIPC Form 17.